

### Item 1 – Introduction

Radiant Global Investors LLC (“Radiant,”) is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Radiant offers investment advisory services to retail investors and not brokerage accounts or brokerage services. Clients and prospective clients should be aware that brokerage and investment advisory services and fees differ, and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at [www.investor.gov/CRS](http://www.investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

### Item 2 – What investment services and advice can you provide me?

Radiant’s investment approach seeks to identify companies that have the potential to be the winners of the future, characterized by the combination of attractive fundamentals and attractive Environmental, Social, and Governance (ESG) attributes. We offer discretionary investment management services directly to retail clients on a limited basis. You and your affiliates will be required to meet certain criteria for us to manage your account, including satisfying a high minimum account size. The minimum account size is disclosed in our Form ADV brochure (subject to modification by us from time to time). Generally, client portfolios in each strategy are managed to a single model portfolio subject to any client restrictions. We are able to manage separate accounts based on unique investment objectives, risk tolerances, and other restrictions within limits, which would be agreed and stated in the investment guidelines in the advisory agreement. As part of our standard services, the portfolio manager(s) responsible for a strategy continuously reviews the securities held by clients in that strategy. We will also periodically monitor your account for, among other things, conformity and consistency with expectations for the stated investment strategy, policy and objective. We do not maintain custody of your assets. Assets are maintained with a qualified custodian of your choice if you have a separately managed account. In the event that you select a custodian with whom we do not have a relationship, we may offer advisory services to you on such assets on a non-discretionary basis, which means that the ultimate decision to follow and execute our investment advice rests with you.

For more detailed information about our investment management services, and the types of clients we generally service, please see Items 4 and 7 of our Form ADV Brochure, available at <https://adviserinfo.sec.gov/firm/summary/316920>

**Conversation starter** - Questions to ask your Financial Professional:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

### Item 3 (A) – What fees will I pay?

You will pay a management fee calculated as a percentage of your assets under management as set forth in your advisory agreement. This fee generally ranges from 0.50%-1.00% annually. Asset-based fees are typically billed and paid quarterly in arrears, after the provision of the services, based on average market value of the assets comprising the account during the calendar quarter. You pay this fee even if you don't buy or sell investments. Given fees are asset-based, the more assets there are in your account, the more you would pay in fees, and the firm may therefore have an incentive to encourage you to increase the assets in your account. Other than management fees, you will also incur other fees and costs including brokerage commissions, custodian fees and expenses, cash management fees such as cash sweep and wire fees; and/or investment specific fees such as expenses associated with trading non-US transactions, foreign exchange transactions and alternative investments. You may also incur additional fees if you are invested in mutual funds, ETFs, and other pooled funds.

**You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.**

For additional information about our fees, please see our Form ADV brochure, item 5, available at <https://adviserinfo.sec.gov/firm/summary/316920>.

**Conversation starter** - Questions to ask your Financial Professional:

- Help me understand how these fees and costs might affect my investments.
- If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

### Item 3 (B) – What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

**When we act as your investment adviser**, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what that means.

- Radiant's code of ethics contains guidelines relating to personal trading by employees (and certain of their immediate family members). Radiant employees may buy or sell securities or have an interest or position in a security for their personal account which Radiant also holds for our clients, including funds that we sub-advise. This presents a conflict of interest between Radiant, our clients' investment interests and the interests of our employees..

This is one example of conflict of interest. For additional information on our conflicts of interest, please see our Form ADV brochure, Items 6, 10, 11 & 12, available at <https://adviserinfo.sec.gov/firm/summary/316920>.

**Conversation starter** - Questions to ask your Financial Professional:

- How might your conflicts of interest affect me, and how will you address them?

**Item 3 (C) - How do your financial professionals make money?**

Each of our investment professionals is compensated through a fixed base salary that is in line with their roles, level of seniority and prior experience. In addition, Radiant employees are eligible to participate in the firm's incentive compensation program including profits interest awards and discretionary bonuses.

**Item 4 - Do you or your financial professionals have legal or disciplinary history?**

No, our firm and your financial professionals do not have any legal or disciplinary history to disclose. Visit [www.investor.gov/CRS](http://www.investor.gov/CRS) for a free and simple search tool to research us and your financial professionals.

**Conversation starter - Questions to ask your financial professional:**

- As a financial professional, do you have any disciplinary history? For what type of conduct?

**Item 5 - Additional Information**

You can find additional information about our investment advisory services at [www.radiantinvestors.com](http://www.radiantinvestors.com). You can also contact us at 925-289-8085 to request a copy of this relationship summary, our Form ADV brochure and other up-to-date information.

**Conversation Starter. Questions to Ask your Broker:**

- Who is my primary contact person?
  - Is he or she a representative of an investment adviser or a broker-dealer?
- Who can I talk to if I have concerns about how this person is treating me?